# ARNOLD & PORTER LLP

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555 Twelfth Street, NW Washington, DC 20004-1206



October 14, 2004

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Application No. 10/785,114

Filed: February 25, 2004

Title: Novel Proteins and Methods for Producing the Proteins

Applicants: Masaaki GOTO et al.

Atty. Docket: 16991.016

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office (PTO):

- 1. an Information Disclosure Statement;
- 2. Form PTO-1449 (15 pages) with twenty-four (24) accompanying references; and
- 3. a return postcard.

Please stamp the attached postcard with the filing date of these documents and return it to our courier.

In the event that extensions of time beyond those petitioned for herewith are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned. Applicants do not believe any fees are due in conjunction with this filing. However, if any fees are required in the present application, including any fees for extensions of time, then the Commissioner is hereby authorized to charge such fees to Arnold & Porter LLP Deposit Account No. 50-2387 referencing matter number 16991.016. A duplicate copy of this letter is enclosed.

Respectfully submitted,

David R. Marsh (Reg. Attorney No. 41,408)

Rachel L. adams

Rachel L. Adams (Reg. Attorney No. 54,660)

Kristan L. Lansbery (Reg. Agent No. 53,183)

**Enclosures** 

In re application of:

Group Art Unit: 1646

Masaaki GOTO et al.

Examiner: To be assigned

Appln. No.: 10/785,114

Confirmation No.: 2626

Filed: February 25, 2004

Atty. Docket: 16991.016

For: Novel Proteins and Methods for

Producing the Proteins

# Information Disclosure Statement

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The attention of the Examiner is invited to the references listed on the attached Form PTO-1449.

Copies of the references listed on pages 1-2 of the attached Form PTO-1449 are submitted herewith.

Pursuant to the Official Gazette Notice of August 5, 2003 (1276 OG 55), copies of the U.S. patents and published applications listed on pages 3-5 of the attached Form PTO-1449 are not submitted herewith. Applicants note that the instant application was filed on February 25, 2004.

Copies of the references listed on pages 6-15 of the attached Form PTO-1449 were previously submitted in prior Application No. 10/232,858, filed September 3, 2002. Pursuant to 37 C.F.R. § 1.98(d) and MPEP § 609, the prior application is identified by its U.S. Application Number in this Information Disclosure Statement, and the above-captioned application relies on the prior application for an earlier effective filing date under 35 U.S.C. § 120.

It is respectfully requested that the information above be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

# Certification and/or Fee

Because this Information Disclosure Statement is being submitted prior to issuance of the first action on the merits of the above-captioned application, no certification or fee is required.

Respectfully submitted,

David R. Marsh (Reg. Attorney No. 41,408)

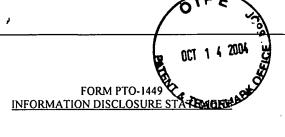
Rachel L. adamo

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Date: October 14, 2004

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ATTY. DOCKET NO.	APPLICATION NO.
16991.016	10/785,114
APPLICANTS	
Masaaki GOTO et al.	
FILING DATE	GROUP
February 25, 2004	1646

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	1
	AAl	0 784 093 A1	07/1997	ЕРО				Yes No
.,	ABI	0 974 671 A1	01/2000	ЕРО				Yes No
	AC1	1 127 578 A1	08/2001	ЕРО				Yes No
	ADI	1 270 015 A2	01/2003	ЕРО				Yes No
	AE1	1 270 015 A3	02/2004	ЕРО				Yes No
	AFI	62-201825	09/1987	Japan			X (abstract only)	Yes No
	AGI	98/46211 A1	10/1998	PCT				Yes No
	AHI	98/46644 A1	10/1998	PCT			X (abstract only)	Yes No
	All	99/15691 A1	04/1999	PCT			X (abstract only)	Yes No
	AJI	01/44472 A1	06/2001	PCT				Yes No
	AKl	03/002713 A2	01/2003	PCT				Yes No
	AL1	03/074084 A1	09/2003	PCT				Yes No

# OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AMI	Jean-Jacques Body, "Current and Future Directions in Medical Therapy: Hypercalcemia," CANCER Supplement, 88(12):3054-3058 (2000)
	ANI	Chemical Abstracts, Vol. 123, No. 18, Abstract No. 237583p (1995)
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			ATTY. DOCKET NO.	APPLICATION NO.				
			16991.016	10/785,114				
		FORM PTO-1449	APPLICANTS					
INFO		N DISCLOSURE STATEMENT	Masaaki GOTO et al.					
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	AA2	Cochran and Abernathy, "Modulatio Hormone and Interleukin-1," Bone,		saminoglycans: Effects of Parathyroid				
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	AC2	Green et al., "Renal Tolerability Pro Pharmacology & Toxicology, 80:22:		onates in Two Short-Term Rat Models,"				
	AD2	Iqbal and Sobhan, "Osteoporosis: A Review," Missouri Medicine, 99(1):19-24 (2002)						
	AE2	Porcel et al., "Anaphylaxis to calcitonin," Allergologia et immunopathologia, 28(4):243-245 (2000)						
	AF2	Romas et al., "Involvement of Recele Destruction in Rheumatoid Arthritis		and Tumor Necrosis Factor-α in Bone				
	AG2	Tomoyasu et al., "Characterization of Factor," Biochemical and Biophysical		c Forms of Osteoclastogenesis Inhibitory 245(2):382-387 (1998)				
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	AK2							
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## FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. APPLICATION NO. 16991.016 10/785,114 **APPLICANTS** Masaaki GOTO et al. FILING DATE GROUP February 25, 2004 1646

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA3	4,179,337	12/1979	Davis et al.			
	AB3	4,710,473	12/1987	Morris			
	AC3	4,959,314	09/1990	Mark et al.			
	AD3	5,118,667	06/1992	Adams et al.			
	AE3	5,366,859	11/1994	Miyoshi et al.			
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	AG3	5,393,739	02/1995	Bentz et al.			
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	AK3	5,545,722	08/1996	Naka			
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	AP3	5,736,506	04/1998	Naka			
	AQ3	5,830,850	11/1998	Gelb et al.			
	AR3	5,843,678	12/1998	Boyle			
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	AA4	6,046,033	04/2000	G	oto et al.					
	AB4	6,087,555	07/2000	D	unstan <i>et al</i> .					
	AC4	6,242,213 B1	06/2001	A	nderson			c		
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	AE4	6,271,349 B1	07/2001	D	ougall <i>et al</i> .					
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	AJ4	6,297,022 B1	10/2001	М	cDonnell et al.					
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	A04	6,525,180 B1	02/2003	G	orman <i>et al</i> .					
	AP4	6,528,482 B1	03/2003	A	nderson et al.					
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	AT4	6,649,164 B2	11/2003	М	laraskovsky					
	AU4	6,693,175 B2	02/2004	Y	ano et al.					
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	AA5	2002/0081720 A1	06/2002	Dou	ıgall <i>et al</i> .					
	AB5	2002/0086826 A1	07/2002	And	lerson et al.		····			
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	AD5	2002/0127637 A1	09/2002	Jian	et al.					
·	AE5	2002/0150989 A1	10/2002	Gre	ene <i>et al</i> .					
	AF5	2002/0169117 A1	11/2002	Mar	raskovsky					
	AG5	2003/0045456 A1	03/2003	Yan	namoto <i>et al</i> .					
	AH5	2003/0100069 A1	05/2003	Jian et al.						
	AI5	2003/0100488 A1	05/2003	Boy	'le					
	AJ5	2003/0103978 A1	06/2003	Des	hpande et al.					
	AK5	2003/0104485 A1	06/2004	Boy	<sup>'</sup> le					
	AL5	2003/0139325 A1	07/2003	Yan	namoto <i>et al</i> .					
	AM5	2003/0144480 A1	07/2003	Gor	man <i>et al</i> .					
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	AO5	2003/0175840 A1	09/2003	Anç	lerson et al.					
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	AQ5	2003/0181418 A1	09/2003	Kur	makura <i>et al</i> .					
	AR5	2003/0207827 A1	11/2003	Воу	rle et al.					
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	AA6	0514130 A2	11/1992	EPO			Yes No
	AB6	0514130 A3	11/1992	EPO			Yes No
<u>.</u> .=	AC6	0526905 A2	02/1993	EPO			Yes No
	AD6	0526905 A3	02/1993	EPO			Yes No
	AE6	0727211 A1	08/1996	EPO			Yes No
-	AF6	0874045 A1	10/1998	EPO			Yes No
	AG6	0911342 A1	04/1999	EPO			Yes No
	ан6	330400	05/1999	New Zealand			Yes No
	A16	86/00922 A1	02/1986	PCT			Yes No
	AJ6	90/14363 A1	11/1990	PCT			Yes No
	AK6	93/12227 A1	06/1993	PCT			Yes No
	AL6	93/21946 A1	11/1993	PCT			Yes No
	АМ6	95/11308 A1	04/1995	PCT			Yes No
	AN6	96/28546 A1	09/1996	PCT			Yes No
	A06	97/00317 A1	01/1997	PCT			Yes No
	AP6	97/00318 A1	01/1997	PCT			Yes No
	AQ6	97/23614 A1	07/1997	PCT			Yes No
	AR6	98/07840 A1	02/1998	PCT			Abstract X Yes
	AS6	98/25958 A2	06/1998	PCT			Yes No
	АТ6	98/28424 A2	07/1998	PCT			Yes No
	AU6	98/28426 A2	07/1998	PCT			Yes No
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						16991.016	10/785,	10/785,114		
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EXAMINER INITIAL		DOCUI NUMB		DATE	COUNTR	Υ	CLASS	SUB- CLASS	TRANSLATION	
	AA7	98/467	751 A1	10/1998	PCT				Yes No	
	AB7	98/493	305 A1	11/1998	PCT				Yes No	
	AC7	99/194	168 A I	04/1999	PCT				Yes No	
	AD7	99/539	942 A1	10/1999	PCT				Yes No	
	AE7	99/586	574 A2	11/1999	PCT				Yes No	
	AF7	99/586	574 A3	11/1999	РСТ				Yes No	
	AG7	01/037	719 A2	01/2001	PCT				Yes No	
	АН7	01/037	719 A3	01/2001	РСТ				Yes No	
	A17	01/175	543 A2	03/2001	PCT				Yes No	
<del> </del>	AJ7	01/175	543 A3	03/2001	PCT				Yes No	
	AK7	01/182	203 A1	03/2001	PCT				Yes No	
	<u></u>	!	-	OTHE	R (Including	Author, Title, Date, Pertinent Pages, etc.)		_1	110	
	AL	7		et al., "Com e, 252:1651-		y DNA Sequencing: Expressed Sequ	ence Tags an	nd Human (	Genome Project",	
	AM	7		on <i>et al.</i> , "A n", <i>Nature</i> , 3		e of the TNF receptor and its ligand (1997)	enhance T-ce	ell growth a	and dendritic-cell	
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	AO	7				re of the Soluble Human 55 kd TNF r Activation", <i>Cell</i> , 73(3):431-445 (1		ıman TNF(	3 Complex:	
	AP	7	Bennet	Bennett, C. F., "Antisense Research", Science, 271:434 (1996)						
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				ATTY. DOCKET NO.		APPLICATION NO.			
				16991.016		10/785,114			
		FORM I	PTO-1449	APPLICANTS					
INF	ORMATIC	ON DISC	LOSURE STATEMENT	Masaaki GOTO et al.					
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	AA	8	668 (1994)	vering Function in the TNF L	igand and	Receptor Families", Science, 264:667-			
	AB	8	Boyce et al., "Effects of Interle	eukin-1 on Bone Turnover in	Normal M	ice", Endocrinology, 125(3):1142-			
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	AD	8	Brinster et al., "Factors affecti eggs", Proceedings of the Nati			DNA into mice by microinjecting 438-4442 (1985)			
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	AF	8	Capon et al., "Designing CD4	Capon et al., "Designing CD4 immunoadhesins for AIDS therapy", Nature, 337(6207):525-531 (1989)					
	AG	8	Cappecchi, M. R., "Altering th	ne Genome by Homologous R	Recombinat	ion", <i>Science</i> , 244:1288-1292 (1989)			
	АН	8	Chambers et al., "Generation of transgenic mouse", Proceeding			enic cell lines from the <i>H-2K<sup>b</sup>tsA58</i> of USA, 90:5578-5582 (1993)			
	Al	8	Charreau et al., "Transgenesis (1996)	in rats: technical aspects and	models", 7	Transgenic Research, 5(4):223-234			
	AJ	8	Chen et al., "Mapping the Dor Receptors", Journal of Biologi			an Tumor Necrosis Factor-α to Its Two			
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	AM	8	Culver and Blaese, "Gene therapy for cancer", Trends in Genetics, 10(5):174-178 (1994)						
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	AA	9	Database EMEST16, EMBL [	Database Accession No. AA170348						
	AB	9	Database GenEMBL, EMBL	Database GenEMBL, EMBL Accession No. AF019048						
	AC	9	Database EMROD, EMBL Da	Database EMROD, EMBL Database Accession No. M59378						
	AD	9		DeClerck et al., "Inhibition of Autoproteolytic Activation of Interstitial Procollagenase by Recombinant Metalloproteinase Inhibitor MI/TIMP-2", Journal of Biological Chemistry, 266(6):3893-3899 (1991)						
	AE	9	Demer, L. L., "A Skeleton in the Atherosclerosis Closet", Circulation, 92(8):2029-2032 (1995)							
	AF	9	Ebi et al., "Mechanism of Mast Cell Deficiency in Mutant Mice of mi/mi Genotype: An Analysis by Co-Culture of Mast Cells and Fibroblasts", Blood, 75(6):1247-1251 (1990)							
	AG	9	Efrat, S., "Prospects for gene therapy of insulin-dependent diabetes mellitus", <i>Diabetologia</i> , 41(12):1401-1409 (1998)							
	АН	9	Ellison <i>et al.</i> , "The nucleotide 10(13):4071-4079 (1982)	sequence of a human immunoglobulin	Cyl gene", Nucleic Acids Research,					
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	AJ	9		of Transforming Growth Factor β on the ce Cells and a Human Osteosarcoma Ce 3-1371 (1992)						
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	AM	9	Galli-Taliadoros et al., "Gene knock-out technology: a methodological overview for the interested novice", Journal of Immunological Methods, 181(1):1-15 (1995)							
	AN	9	George et al., "Current Methods in Sequence Comparison and Analysis", Macromolecular Sequencing and Synthesis Selected Methods and Applications, pp. 127-149 (1988)							
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				ATTY. DOCKET NO.	APPLICATION NO.		
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	AA	10	Goeddel, D. V., "Systems for I	Heterologous Gene Expression", Method	ds in Enzymology, 185:3-7 (1990)		
	AB	10	Goeddel et al., "Tumor Necrosis Factors: Gene Structure and Biological Activities", Cold Spring Harbor Symposia on Quantitative Biology, Volume Ll., pp. 597-609 (1986)				
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	AD	10	Gowen et al., "Preferential Inhibition of Cytokine-Stimulated Bone Resorption by Recombinant Interferon Gamma", Journal of Bone and Mineral Research, 1(5):469-474 (1986)				
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	AF	10	Gribskov et al., "Profile analysis: Detection of distantly related proteins", Proceedings of the National Academy of Sciences of USA, 84(13):4355-4358 (1987)				
	AG	10	Grigoriadis et al., "c-Fos: A Key Regulator of Osteoclast-Macrophage Lineage Determination and Bone Remodeling", Science, 266:443-448 (1994)				
	АН	10	Hattersley et al., "Human Macrophage Colony-Stimulating Factor Inhibits Bone Resorption by Osteoclasts Disaggregated From Rat Bone", Journal of Cellular Physiology, 137(1):199-203 (1988)				
	ΑΙ	10	Hodgson et al., "Advances in vector systems for gene therapy,", Exp. Opin. Ther. Patents, 5(5):459-468 (1995)				
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